**Laser-induced thermal processes: heat transfer, thermoelastic waves, melting, spallation, evaporation, and phase explosion** (basic mechanisms and illustrations from atomistic modeling)

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# **Processes involved in laser interaction with materials**



# **Thermal processes in laser-materials interactions**



#### **Laser energy deposition and heat transfer**



Fourier's law for heat transfer:

$$
q_x = -k \frac{\partial T(x, t)}{\partial x} \quad \text{heat flux [Jm-2s-1]}
$$

$$
\rho c_p \frac{\partial T(x,t)}{\partial t} = \frac{\partial}{\partial x} \left[ k(T) \frac{\partial T(x,t)}{\partial x} \right] + S(x,t)
$$

ρ is density [kgm<sup>-3</sup>]; *k* is thermal conductivity [Wm<sup>-1</sup>K<sup>-1</sup>],  $c_p$  is specific heat [Jkg<sup>-1</sup>K<sup>-1</sup>]

if k is constant 
$$
\frac{\partial T(x,t)}{\partial t} = D \frac{\partial^2 T(x,t)}{\partial x^2} + S(x,t) \quad \text{where } D = k/\rho c_p \text{ is diffusion}
$$

# **Dimensionality of heat transfer**



$$
\rho c_p \frac{\partial T(x, t)}{\partial t} = \frac{\partial}{\partial x} \left[ k(T) \frac{\partial T(x, t)}{\partial x} \right] + S(x, t)
$$
  
\n
$$
l_{th} = \sqrt{2k \tau / \rho c_p} = \sqrt{2D\tau} \quad \text{characteristic length}
$$
\nof heat diffusion  
\nWhen are the estimations based on 1D heat transfer valid?  
\n
$$
\Rightarrow \qquad \text{when } l_{th} \ll R_s, \text{ 1D approximation is valid}
$$

 $2R_s \rightarrow \sim \sim$   $l_{th}$ laser pulse  $l_{th}$   $l_{th}$ 

*Example*: using  $k$  and  $c_p$  typical for metals, we can estimate  $l_{th}$  ~ 0.1 – 1  $\mu$ m for  $\tau \sim 1 - 10$  ns (typical melting – resolidification time)  $\rightarrow$  1D is valid for  $R_s \approx 10 - 100 \mu m$ 

Otherwise, if  $l_{th} \sim R_s$  or  $l_{th} > R_s$  we have to consider 2D or 3D heat transfer:

$$
\rho c_p \frac{\partial T(\vec{r}, t)}{\partial t} = \nabla \cdot [k(T) \nabla T(\vec{r}, t)] + S(\vec{r}, t)
$$



$$
\delta_{eff} = \left(\frac{128}{\pi}\right)^{\frac{1}{8}} \left(\frac{\kappa_0^2 \cdot C_l}{T_f \cdot G^2 \gamma}\right)^{\frac{1}{4}} \qquad C_e = \gamma T_e
$$
  

$$
k_e = k_0 \frac{T_e}{T_l}
$$

Corkum *et al.*, *Phys. Rev. Lett.* **61**, 2886, 1988

 $l_{opt}$  = 13.5 nm *δeff* ≈ 50 nm

For Ag (small *G*):  $l_{opt}$  = 12 nm *δeff* ≈ 350 - 100 nm





thermal diffusion in electron-phonon equilibrium





**Dimensionality of heat transfer: Implications for**  $F_{th}(\tau_n)$ 







Tsubasa Endo *et al*., *Optics Express* **31**, 36027, 2023

#### **Dimensionality of heat transfer**



Zhang, Gökce, Barcikowski, *Chem. Rev.* **117**, 3990, 2017

MD simulations, Mikhail Arefev, current work

12

13

# **Laser energy deposition and heat transfer**

# phonons (in non-metals)





# What are the *dominant* heat carriers?

- phonons in dielectrics & semiconductors
- electrons in metals

# **Example:** Silicon

Diffusion of electron-hole pairs accounts for  $\sim$ 30-40% of *k* of solid Si close to  $T_m$ ; jump from 20.4 to 56.5 Wm<sup>-1</sup>K<sup>-1</sup> at 1700 K is due to transition to metallic state upon melting [Fulkerson *et al*., *Phys. Rev.* **167**, 765, 1968] [Yamasue *et al*., *J. Crystal Growth* **234**, 121, 2002]

# Why do we care?

Energy is stored in atomic vibrations (phonons), but laser deposits energy to electrons → **conditions for electron-phonon nonequilibrium**

**Laser energy deposition & heat transfer: Electron-phonon nonequilibrium** Energy pathway:



Laser excitation can create conditions of electron – phonon nonequilibrium

(electron and lattice temperatures are not equal to each other)

**For metals**: two-temperature model (TTM) [Anisimov *et al., Sov. Phys. JETP* **39**, 375, 1974]



Heat diffusion equations written for  $T_e$  and  $T_1$  + **additional terms** accounting for electron-phonon energy exchange

**Laser energy deposition & heat transfer: Electron-phonon nonequilibrium**

$$
c_e(T_e) \frac{\partial T_e(\vec{r}, t)}{\partial t} = \nabla \cdot [k_e(T_e, T_l) \nabla T_e(\vec{r}, t)] - G(T_e)(T_e - T_l) + S(\vec{r}, t)
$$
  

$$
c_l(T_l) \frac{\partial T_l(\vec{r}, t)}{\partial t} = \nabla \cdot [k_l(T_l) \nabla T_l(\vec{r}, t)] + G(T_e)(T_e - T_l)
$$



 $\frac{50 \text{ nm}}{20 \text{ nm}}$  50 nm Ni film irradiated by 200 fs pulse at absorbed fluence of 430 J/ $m^2$ 

> Are there any practical implications?

33 nm Au – 33 nm Cr – 33 nm Au three-layer film irradiated by 100 fs pulse at a fluence of 500 J/m2



The results of pump-probe thermoreflectivity measurements can only be explained if the preferential heating of Cr layer is accounted for.

Qiu and Tien, *Int. J. Heat Mass Transfer* **37**, 2789, 1994





*G* for  $Cr \gg G$  for Au

30 nm Ag film deposited on Cu substrate and irradiated by 200 fs pulse at an absorbed fluence of 1300 J/m2



Thomas *et al.*, *Appl. Surf. Sci.* **255**, 9605, 2009 Wu *et al*., *Appl. Phys. A* **104**, 781, 2011 Naghilou *et al*., *Phys. Chem. Chem. Phys.* **21**, 11846, 2019



30 nm Ag film deposited on Cu substrate and irradiated by 200 fs pulse at an absorbed fluence of 1300 J/m2

![](_page_20_Figure_2.jpeg)

Thomas *et al.*, *Appl. Surf. Sci.* **255**, 9605, 2009 Wu *et al*., *Appl. Phys. A* **104**, 781, 2011 Naghilou *et al*., *Phys. Chem. Chem. Phys.* **21**, 11846, 2019

![](_page_20_Figure_4.jpeg)

![](_page_21_Figure_1.jpeg)

**Rapid, localized heating by laser pulses**

# **Generation of stresses and stress waves**

![](_page_22_Picture_2.jpeg)

#### **Laser-induced stresses and stress waves**

Continuum-level simulation: Silica substrate, Gaussian laser pulse,  $100 \mu m \times 500 \mu m$  computational domain  $(d = 21 \text{ µm}, L_p = 10 \text{ µm}, \tau = 100 \text{ ps}, I_{abs} = 10 \text{ J/cm}^2$ , Beer's law absorption)

![](_page_23_Figure_2.jpeg)

Types and sources of laser-induced stresses:

- Dynamic transient stresses generated due to the conditions of stress confinement
- Ablation or vaporization recoil pressure
- Long-term quasi-static thermo-elastic stresses due to the temperature gradients
- Residual stresses due to the laser-induced structural changes (defects) in the material

Condition of stress confinement:  $\tau_h = \max{\{\tau_p, \tau_{e-ph}\}} \leq \tau_s$ , where  $\tau_s \sim \delta_{eff}/C_s$ 

Paltauf and Dyer, *Chem. Rev.* **103**, 487, 2003

Leveugle *et al*., *Appl. Phys. A* **79**, 1643, 2004

# **Photoacoustic probing and imaging**

# **transient grating spectroscopy**

optical generation & probing of surface acoustic waves

*in situ* / *in operando* characterization of evolving subsurface microstructure on sub-μs timescale & with tunable depth resolution, *e.g.*, probing of accumulation of radiation damage

Dennett and Short, *J. Appl. Phys.* **123**, 215109, 2018 Dennett *et al*., *Nucl. Instrum. Methods Phys. Res. B* **440**, 126, 2019

![](_page_24_Picture_5.jpeg)

![](_page_24_Figure_6.jpeg)

## **photoacoustic imaging of biological tissue**

Selective laser heating (due to the natural optical contrast or embedded nanoparticles) leads to thermal expansion or nanobubbles formation  $\rightarrow$  acoustic signal  $\rightarrow$  3D image

http://en.wikipedia.org/wiki/Image:PASchematics\_v2.png

#### **Laser-induced stress waves → back surface spallation**

![](_page_25_Picture_1.jpeg)

Back surface spallation: dynamic fracture due to reflection of a shock wave from a back surface of a sample

![](_page_25_Picture_3.jpeg)

![](_page_25_Picture_4.jpeg)

![](_page_25_Figure_5.jpeg)

![](_page_25_Picture_6.jpeg)

Tamura *et al*., *J. Appl. Phys.* **89**, 3520, 2001

![](_page_25_Picture_8.jpeg)

Al block impacted by 1.2 cm Al ball at 6.8 km/s *European Space Agency*

# **Laser-induced stress waves → front surface spallation**

![](_page_26_Picture_1.jpeg)

Front surface spallation: subsurface cavitation and ejection of a thin molten layer from the irradiated target

![](_page_26_Picture_3.jpeg)

![](_page_26_Figure_4.jpeg)

*Appl. Phys. A* **114**, 11, 2014

#### **Laser-induced stress waves → front surface spallation**

Front surface spallation: subsurface

Thermodynamic analysis

![](_page_27_Figure_2.jpeg)

*Comput. Mater. Sci.* **166**, 311, 2019 *Appl. Phys. A* **114**, 11, 2014

#### **Can laser-generated SAWs contribute to target surface modification?**

![](_page_28_Figure_1.jpeg)

 $\overline{250}$ 

100

 $x$  [µm]

 $\theta$ 

150

 $\overline{200}$ 

Shugaev & Zhigilei, *J. Appl. Phys.* **130**, 185108, 2021

# **Probing ultimate strength under conditions of ultrafast mechanical loading**

![](_page_29_Picture_1.jpeg)

![](_page_29_Picture_2.jpeg)

#### Probing ideal fracture strength of brittle materials

Impulsive fracture without notching using the effect of gradual growth of stress in the strongly nonlinear SAW pulses - critical tensile stress of dynamic fracture obtained Silicon: Lomonosov and Hess, *PRL* **89**, 095501, 2002 Diamond: Hess, *Diam. Relat. Mater.* **18**, 186, 2009

![](_page_29_Picture_5.jpeg)

*Modern Acoustical Techniques for the Measurement of Mechanical Properties* (Academic, New York, 2001)

# **Nonlinear sharpening of SAWs: Generation of dislocations**

![](_page_30_Figure_1.jpeg)

![](_page_30_Picture_2.jpeg)

Yuan Xu, current work

Distance, nm

 $-4\frac{1}{0}$   $\frac{1}{50}$   $\frac{1}{100}$   $\frac{1}{150}$   $\frac{1}{200}$ 

Atomic-scale roughening of the surface due to the SAW-induced generation of dislocations is a possible mechanism of the promotion of surface catalytic activity.

200

400

 $X[\sigma]$ 

600

800

1000

 $-0.06$ 

 $-0.08$ 

von Boehn *et al.*, *Angew. Chem. Int. Ed.* **59**, 20224, 2020

# **Laser-induced phase transformations: Melting**

![](_page_31_Figure_1.jpeg)

#### **Basic thermodynamics of phase transformations**

![](_page_32_Figure_1.jpeg)

**Heterogeneous melting**: propagation of melting front from the surface

melting front velocity  $v = \mu \Delta T$ 

**Homogeneous melting**: nucleation inside the superheated crystal (*i.e.*, at *T\**)

how does the nucleation rate depends on *T\*?*

#### **Classical Nucleation Theory: Homogeneous Melting – case study of Au**

![](_page_33_Figure_1.jpeg)

![](_page_33_Figure_2.jpeg)

calculations done with the experimental thermodynamic properties of Au

Arefev *et al*., *Sci. Adv.* **8**, eabo2621, 2022

 $R \approx 10^{35}$  s<sup>-1</sup>m<sup>-3</sup> = one nucleus in a volume of  $(10 \text{ nm})^3$  every 10 ps

## **Laser melting of Au films: Experiments and simulations**

Electron diffraction experiments for Au 20 films Dwyer et al., *Philos. Trans. R. Soc. London, Ser. A* **364**, 741, 2006.

**Gold**  $\Rightarrow$  weak electron-phonon coupling  $\Rightarrow$  **separation of the timescales for lattice heating and melting**

slow heterogeneous melting  $\longrightarrow$  fast homogeneous melting

![](_page_34_Picture_6.jpeg)

![](_page_34_Picture_7.jpeg)

![](_page_34_Picture_9.jpeg)

#### **laser fluence**

#### **Laser melting of Au films: Experimental probing and simulations**

Siwick *et al*., *Science* **302**, 1382, 2003

![](_page_35_Figure_2.jpeg)

Experiment: Dwyer et al., *Philos. Trans. R. Soc. London, Ser. A* **364**, 741 (2006)

#### **Quantitative comparison to experiments: Laser melting of Au films**

excitation of 5d electrons:  $G(T_e)$  and  $C_e(T_e)$ 

timescales of melting in a 20 nm single crystal Au film (200 fs pulse)

![](_page_36_Figure_3.jpeg)

Lin *et al*., *Phys. Rev. B* **77**, 075133, 2008 Lin *et al*., *J. Phys. Chem. C* **114**, 5686, 2010

#### **New results for Au films: Very slow heterogeneous melting**

![](_page_37_Figure_1.jpeg)

Mo *et al*., *Science* **360**, 1451, 2018

![](_page_38_Figure_1.jpeg)

Arefev *et al*., *Sci. Adv.* **8**, eabo2621, 2022

Mo *et al*., *Science* **360**, 1451, 2018

Atomistic modeling of melting of 35 nm Au film

![](_page_39_Figure_2.jpeg)

Arefev *et al*., *Sci. Adv.* **8**, eabo2621, 2022

Ultra-slow melting cannot be explained by modeling with any reasonable value of *G*

Atomistic modeling of melting of 35 nm Au film

![](_page_40_Figure_2.jpeg)

*Example EXECUTE:* Server *experimental result* "*Never trust an experimental result until it has been confirmed by theory*" Sir Arthur Stanley Eddington

absorbed energy density of 0.21 MJ/kg =  $0.99\varepsilon_m$ 

![](_page_41_Figure_2.jpeg)

Time, ps

absorbed energy density of 0.2 MJ/kg =  $0.94\varepsilon_m$ 

![](_page_41_Figure_4.jpeg)

Arefev *et al*., *Sci. Adv.* **8**, eabo2621, 2022

# **Laser-induced phase transformations: Solidification**

![](_page_42_Figure_1.jpeg)

## **Laser-induced phase transformations: Solidification**

![](_page_43_Figure_1.jpeg)

## **Laser-induced phase transformations: Solidification**

Fast heating and cooling Melting and resolidification

![](_page_44_Picture_2.jpeg)

Modification of surface microstructure surface alloying, annealing, hardening

Examples:

solidification microstructure – processing maps

Kurtz, *Adv. Eng. Mat.* **3**, 443, 2001

![](_page_44_Figure_7.jpeg)

![](_page_44_Picture_8.jpeg)

Hoekstra *et al.*, *Adv. Eng. Mat.* **7**, 805, 2005

Equiaxed  $10^{-2}$  $10^{-3}$  $V$  [m/s]  $10^{\circ}$  $10^{-6}$ Columnai  $10^{-7}$  $10<sup>0</sup>$  $10<sup>1</sup>$  $10^2$  $10^3$  $10<sup>5</sup>$  $10^{7}$  $10^{\circ}$  $G/K/m$ 

Transition from columnar to equiaxed microstructure in laser-processed Ni-based superalloy

Clear understanding of the connections between laser processing conditions and microstructure is critical for the actively evolving area of **additive manufacturing** 

# **Laser-induced phase transformations: Vaporization**

![](_page_45_Picture_1.jpeg)

# **"Conventional" vaporization of superheated liquid**

![](_page_46_Figure_1.jpeg)

![](_page_46_Picture_83.jpeg)

1. Evaporation from the surface  $\gamma$ 

Miotello & Kelly, *Appl. Phys. A* **69**, S67, 1999

normal boiling is subject to a major kinetic bottleneck in the process of bubble diffusion - distances travelled by a bubble in 100 ns are atomically small at  $2T_m$ 

## **Surface evaporation and normal boiling are not relevant for ns pulses**

Surface evaporation becomes important for 100s ns pulses and longer, can also lead to melt expulsion due to vapor recoil pressure

**Vaporization of superheated liquid: Transition to "explosive boiling"**

![](_page_47_Figure_1.jpeg)

#### **What is "explosive boiling," also known as "phase explosion" ?**

![](_page_48_Figure_1.jpeg)

explosive boiling of liquid superheated up to the limit of thermodynamic stability

![](_page_48_Picture_3.jpeg)

# **Visual picture of phase explosion from large-scale atomistic simulations**

![](_page_49_Picture_1.jpeg)

Homogeneous boiling (phase explosion): liquid superheated to  $\sim$ 90% of the spinodal temperature rapidly decomposes into vapor and liquid droplets.

# **Analysis of the ablation plume from large-scale MD simulations**

![](_page_50_Picture_1.jpeg)

# **Analysis of the ablation plume from large-scale MD simulations**

![](_page_51_Picture_1.jpeg)

**Plume imaging: splitting of the plume** into a fast component (optical emission of neutral atoms) and a slow component  $(blackbody-like emission \rightarrow presence of hot clusters)$ Albert *et al*., *Appl. Phys. A* **76**, 319, 2003 Okano et al., Appl. Phys. Lett. 89, 221502, 2006 Noël *et al.*, *Appl. Surf. Sci.* **253**, 6310, 2007 Itina *et al*., *Appl. Surf. Sci.* **253**, 7656, 2007 Amoruso *et al*., *Appl. Phys. A* **89**, 1017, 2007 Jegenyes *et al*., *Appl. Phys. A* **91**, 385, 2008 Nakano et al., Appl. Phys. A 101, 523, 2010 Hermann *et al*., *J. Phys.: Conf. Ser.* **399**, 012006, 2012

![](_page_51_Figure_3.jpeg)

![](_page_51_Picture_4.jpeg)

# **Dynamics of nanoscale phase decomposition in laser ablation**

![](_page_52_Picture_1.jpeg)

![](_page_52_Figure_2.jpeg)

Experiments at SLAC by Yanwen Sun, Klaus Sokolowski-Tinten *et al.*

# **Dynamics of nanoscale phase decomposition in laser ablation**

![](_page_53_Figure_1.jpeg)

![](_page_53_Figure_2.jpeg)

# **Dynamics of nanoscale phase decomposition in laser ablation Transition from photomechanical spallation to phase explosion**

![](_page_54_Figure_1.jpeg)

#### **Dynamics of nanoscale phase decomposition in laser ablation**

Experiments at SLAC by Yanwen Sun, Klaus Sokolowski-Tinten *et al.*

![](_page_55_Figure_2.jpeg)

length-scale *d* that corresponds to Q:  $d = \frac{2\pi}{9}$ Q

# **Dynamics of nanoscale phase decomposition in laser ablation:**  Direct verification of computational predictions

![](_page_56_Figure_1.jpeg)

**…except that something strange is going on in the low-Q region: Increase at Q ~ 0.005 – 0.02** Å<sup>-1</sup> ( $d \sim 30$  – 125 nm) within 100 ps

# **What is the lower limit on the heating rate for triggering phase explosion?**

*In-situ* X-ray probing of **CW additive manufacturing**: sudden disappearance of keyhole protrusions and metal spattering - evidence of the phase explosion.

![](_page_57_Figure_2.jpeg)

Zhao et al., *Phys. Rev. X* **9**, 021052, 2019; *Science* **370**, 1080, 2020.

Nahen and Vogel, *J. Biomed. Opt.* **7**, 165, 2002: phase explosion in laser ablation of gelatin and biological tissue induced by **100 μs laser pulses**

Possible question for classroom discussion

What is (or is there) an upper limit for the laser pulse duration for triggering the phase explosion?

#### **Thermal processes at different laser fluences and pulse durations**

![](_page_58_Figure_1.jpeg)

Laser-induced stress waves

M. V. **Shugaev**, M. **He**, Y. **Levy**, A. **Mazzi**, A. **Miotello**, N. M. **Bulgakova**, and L. V. **Zhigilei**, Laser-induced thermal processes: Heat transfer, generation of stresses, melting and solidification, vaporization and phase explosion, in: *Handbook of Laser Micro- and Nano-Engineering*, Edited by K. **Sugioka** (Springer, Cham, Switzerland, 2021), pp. 83-163.

# **Summary on laser-induced thermal processes**

![](_page_59_Picture_1.jpeg)

vaporization phase explosion

![](_page_59_Picture_3.jpeg)

![](_page_59_Picture_4.jpeg)

parameters of the ablation plume and generation of nanoparticles