Laser-induced thermal processes: heat transfer, thermoelastic waves, melting, spallation, evaporation, and phase explosion (basic mechanisms and illustrations from atomistic modeling)

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### **Processes involved in laser interaction with materials**



## **Thermal processes in laser-materials interactions**



#### Laser energy deposition and heat transfer



Fourier's law for heat transfer:

$$q_x = -k \frac{\partial T(x,t)}{\partial x}$$
 - heat flux [Jm<sup>-2</sup>s<sup>-1</sup>]

 $X \checkmark$ 

laser

$$\rho c_p \frac{\partial T(x,t)}{\partial t} = \frac{\partial}{\partial x} \left[ k(T) \frac{\partial T(x,t)}{\partial x} \right] + S(x,t)$$

 $\rho$  is density [kgm<sup>-3</sup>]; k is thermal conductivity [Wm<sup>-1</sup>K<sup>-1</sup>],  $c_p$  is specific heat [Jkg<sup>-1</sup>K<sup>-1</sup>]

if k is constant 
$$\frac{\partial T(x,t)}{\partial t} = D \frac{\partial^2 T(x,t)}{\partial x^2} + S(x,t)$$
 where  $D = k/\rho c_p$  is diffusion coefficient  $[m^2/s]$ 

## **Dimensionality of heat transfer**



$$\int c_p \frac{\partial T(x,t)}{\partial t} = \frac{\partial}{\partial x} \left[ k(T) \frac{\partial T(x,t)}{\partial x} \right] + S(x,t)$$

 $\downarrow l_{th} = \sqrt{2k \tau / \rho c_p} = \sqrt{2D\tau} - \text{characteristic length}$ of heat diffusion

When are the estimations based on 1D heat transfer valid?

when  $l_{th} \ll R_s$ , 1D approximation is valid

*Example*: using *k* and  $c_p$  typical for metals, we can estimate  $l_{th} \sim 0.1 - 1 \ \mu m$  for  $\tau \sim 1 - 10 \ ns$  (typical melting – resolidification time)  $\rightarrow 1D$  is valid for  $R_s \approx 10 - 100 \ \mu m$ 



laser pulse

Otherwise, if  $l_{th} \sim R_s$  or  $l_{th} > R_s$  we have to consider 2D or 3D heat transfer:

$$\rho c_p \frac{\partial T(\vec{r}, t)}{\partial t} = \nabla \cdot [k(T) \nabla T(\vec{r}, t)] + S(\vec{r}, t)$$

## Dimensionality of heat transfer: Implications for $F_{th}(\tau_p)$



$$\delta_{eff} = \left(\frac{128}{\pi}\right)^{\frac{1}{8}} \left(\frac{\kappa_0^2 \cdot C_l}{T_f \cdot G^2 \gamma}\right)^{\frac{1}{4}} \qquad \begin{array}{c} C_e = \gamma T_e \\ k_e = k_0 \frac{T_e}{T_l} \end{array}$$

Corkum et al., Phys. Rev. Lett. 61, 2886, 1988

For Ni (large G):  $l_{opt} = 13.5 \text{ nm}$  $\delta_{eff} \approx 50 \text{ nm}$ 

For Ag (small G):  $l_{opt} = 12 \text{ nm}$  $\delta_{eff} \approx 350 \text{ - } 100 \text{ nm}$ 

## Dimensionality of heat transfer: Implications for $F_{th}(\tau_p)$



## Dimensionality of heat transfer: Implications for $F_{th}$ ( $\tau_{p}$ )



thermal diffusion in electron-phonon equilibrium

## Dimensionality of heat transfer: Implications for $F_{th}(\tau_p)$



## Dimensionality of heat transfer: Implications for $F_{th}(\tau_p)$



Dimensionality of heat transfer: Implications for  $F_{th}$  ( $\tau_{p}$ )



# Dimensionality of heat transfer: Implications for $F_{th}$ ( $\tau_p$ )



## Dimensionality of heat transfer: Implications for $F_{th}$ ( $\tau_p$ )



Tsubasa Endo et al., Optics Express 31, 36027, 2023

#### **Dimensionality of heat transfer**



high-k film on a low-k substrate: 2D lateral heat transfer + 1D (for large  $R_s$ ) heat transfer to the substrate

• 
$$T_f = T_s$$
 may not be valid, *i.e.*,  $\Delta T = T_s - T_f \neq 0$ 

Thermal boundary resistance *R* (Kapitza resistance):

 $q = -k \Delta T / \Delta x$ 

 $\Rightarrow$  equivalent depth:  $kR = \Delta x$   $\Leftarrow$   $q = -\Delta T/R$ 



Zhang, Gökce, Barcikowski, Chem. Rev. 117, 3990, 2017

MD simulations, Mikhail Arefev, current work

## Laser energy deposition and heat transfer

# phonons (in non-metals)





# What are the *dominant* heat carriers?

- phonons in dielectrics & semiconductors
- electrons in metals

# Example: Silicon

Diffusion of electron-hole pairs accounts for ~30-40% of *k* of solid Si close to  $T_m$ ; jump from 20.4 to 56.5 Wm<sup>-1</sup>K<sup>-1</sup> at 1700 K is due to transition to metallic state upon melting [Fulkerson *et al.*, *Phys. Rev.* **167**, 765, 1968] [Yamasue *et al.*, *J. Crystal Growth* **234**, 121, 2002]

# Why do we care?

Energy is stored in atomic vibrations (phonons), but laser deposits energy to electrons  $\rightarrow$  conditions for electron-phonon nonequilibrium

# Laser energy deposition & heat transfer: Electron-phonon nonequilibrium

Energy pathway:



Laser excitation can create conditions of electron – phonon nonequilibrium

(electron and lattice temperatures are not equal to each other)

For metals: two-temperature model (TTM) [Anisimov et al., Sov. Phys. JETP 39, 375, 1974]



Heat diffusion equations written for  $T_e$  and  $T_l$  + additional terms accounting for electron-phonon energy exchange

Laser energy deposition & heat transfer: Electron-phonon nonequilibrium

$$c_e(T_e)\frac{\partial T_e(\vec{r},t)}{\partial t} = \nabla \cdot [k_e(T_e,T_l)\nabla T_e(\vec{r},t)] - G(T_e)(T_e-T_l) + S(\vec{r},t)$$
$$c_l(T_l)\frac{\partial T_l(\vec{r},t)}{\partial t} = \nabla \cdot [k_l(T_l)\nabla T_l(\vec{r},t)] + G(T_e)(T_e-T_l)$$



50 nm Ni film irradiated by 200 fs pulse at absorbed fluence of 430 J/m<sup>2</sup>

Are there any practical implications?

33 nm Au - 33 nm Cr - 33 nm Authree-layer film irradiated by 100 fs pulse at a fluence of 500 J/m<sup>2</sup>



The results of pump-probe thermoreflectivity measurements can only be explained if the preferential heating of Cr layer is accounted for.

Qiu and Tien, Int. J. Heat Mass Transfer **37**, 2789, 1994





G for Cr >> G for Au

30 nm Ag film deposited on Cu substrate and irradiated by 200 fs pulse at an absorbed fluence of 1300 J/m<sup>2</sup>



 Thomas et al., Appl. Surf. Sci. 255, 9605, 2009

 Wu et al., Appl. Phys. A 104, 781, 2011

 3000

 Naghilou et al., Phys. Chem. Chem. Phys. 21, 11846, 2019



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Naghilou et al., Phys. Chem. Chem. Phys. 21, 11846, 2019

**Rapid, localized heating by laser pulses** 

## **Generation of stresses and stress waves**



#### Laser-induced stresses and stress waves

Continuum-level simulation: Silica substrate, Gaussian laser pulse, 100  $\mu$ m × 500  $\mu$ m computational domain ( $d = 21 \mu$ m,  $L_p = 10 \mu$ m,  $\tau = 100 \text{ ps}$ ,  $I_{abs} = 10 \text{ J/cm}^2$ , Beer's law absorption)



Types and sources of laser-induced stresses:

- Dynamic transient stresses generated due to the conditions of stress confinement
- Ablation or vaporization recoil pressure
- Long-term quasi-static thermo-elastic stresses due to the temperature gradients
- Residual stresses due to the laser-induced structural changes (defects) in the material

Condition of stress confinement:  $\tau_{\rm h} = \max\{\tau_{\rm p}, \tau_{\rm e-ph}\} \le \tau_{\rm s}$ , where  $\tau_{\rm s} \sim \delta_{\rm eff}/C_{\rm s}$ 

Paltauf and Dyer, Chem. Rev. 103, 487, 2003

Leveugle et al., Appl. Phys. A 79, 1643, 2004

# **Photoacoustic probing and imaging**

### transient grating spectroscopy

optical generation & probing of surface acoustic waves

*in situ / in operando* characterization of evolving subsurface microstructure on sub- $\mu$ s timescale & with tunable depth resolution, *e.g.*, probing of accumulation of radiation damage

Dennett and Short, J. Appl. Phys. **123**, 215109, 2018 Dennett et al., Nucl. Instrum. Methods Phys. Res. B **440**, 126, 2019





#### photoacoustic imaging of biological tissue

Selective laser heating (due to the natural optical contrast or embedded nanoparticles) leads to thermal expansion or nanobubbles formation  $\rightarrow$  acoustic signal  $\rightarrow$  3D image

http://en.wikipedia.org/wiki/Image:PASchematics\_v2.png

#### **Laser-induced stress waves** → **back surface spallation**



Back surface spallation: dynamic fracture due to reflection of a shock wave from a back surface of a sample









Tamura et al., J. Appl. Phys. 89, 3520, 2001



Al block impacted by 1.2 cm Al ball at 6.8 km/s *European Space Agency* 

## **Laser-induced stress waves** $\rightarrow$ **front surface spallation**



Front surface spallation: subsurface cavitation and ejection of a thin molten layer from the irradiated target





Appl. Phys. A 114, 11, 2014

#### **Laser-induced stress waves** $\rightarrow$ **front surface spallation**

Thermodynamic analysis Front surface spallation: subsurface cavitation and ejection of a thin molten cavity liquid under layer from the irradiated target tension P < 0short pulse laser irradiation 2  $\Delta G = G_2 - G_1$ pprox 0 $=\frac{4}{3}\pi R^{3}(P-P_{v})+4\pi R^{2}\sigma$ negative positive  $\langle \cdot \rangle$  $R_c = -\frac{2\sigma}{P}$  $G_0/kT$ 25 30 35 40 45 50 20  $G_0 = \Delta G(R_c)$  $-\frac{16\pi\sigma^3}{16\pi\sigma^3}$  $G_0 = G_0^{th}$ 0 ps laser fluence  $3P^2$ laser pulse depth [nm] 50 Rate of void nucleation: 0 ps 100  $P_{th}^2 = \alpha \, \sigma(T)^3 / k_B \, T$  $R = R_0 e^{-\frac{G_0}{k_B T}}$ er pulse 150 20 80 100 40 60 0 ps time [ps]

Comput. Mater. Sci. 166, 311, 2019

Appl. Phys. A 114, 11, 2014

#### **Can laser-generated SAWs contribute to target surface modification?**



*x* [µm]

Shugaev & Zhigilei, J. Appl. Phys. 130, 185108, 2021

## Probing ultimate strength under conditions of ultrafast mechanical loading





#### Probing ideal fracture strength of brittle materials

Impulsive fracture without notching using the effect of gradual growth of stress in the strongly nonlinear SAW pulses - critical tensile stress of dynamic fracture obtained Silicon: Lomonosov and Hess, *PRL* **89**, 095501, 2002 Diamond: Hess, *Diam. Relat. Mater.* **18**, 186, 2009



Modern Acoustical Techniques for the Measurement of Mechanical Properties (Academic, New York, 2001)

## Nonlinear sharpening of SAWs: Generation of dislocations





Yuan Xu, current work

Atomic-scale roughening of the surface due to the SAW-induced generation of dislocations is a possible mechanism of the promotion of surface catalytic activity.

von Boehn et al., Angew. Chem. Int. Ed. 59, 20224, 2020

## Laser-induced phase transformations: Melting



#### **Basic thermodynamics of phase transformations**



Heterogeneous melting: propagation of melting front from the surface

melting front velocity  $v = \mu \Delta T$ 

Homogeneous melting: nucleation inside the superheated crystal (*i.e.*, at *T*\*)

how does the nucleation rate depends on  $T^*$ ?

#### **Classical Nucleation Theory: Homogeneous Melting – case study of Au**





calculations done with the experimental thermodynamic properties of Au

Arefev et al., Sci. Adv. 8, eabo2621, 2022

 $R \approx 10^{35} \text{ s}^{-1} \text{m}^{-3}$  = one nucleus in a volume of (10 nm)<sup>3</sup> every 10 ps

#### Laser melting of Au films: Experiments and simulations

Electron diffraction experiments for Au 20 films Dwyer et al., Philos. Trans. R. Soc. London, Ser. A 364, 741, 2006.

**Gold** weak electron-phonon coupling

separation of the timescales for lattice heating and melting

slow heterogeneous melting





fast homogeneous melting



#### laser fluence

#### Laser melting of Au films: Experimental probing and simulations

Siwick et al., Science 302, 1382, 2003



Experiment: Dwyer et al., *Philos. Trans. R. Soc. London, Ser. A* **364**, 741 (2006)

#### Quantitative comparison to experiments: Laser melting of Au films

excitation of 5d electrons:  $G(T_e)$  and  $C_e(T_e)$ 

timescales of melting in a 20 nm single crystal Au film (200 fs pulse)



Lin et al., Phys. Rev. B 77, 075133, 2008

Lin et al., J. Phys. Chem. C 114, 5686, 2010

#### New results for Au films: Very slow heterogeneous melting



Mo et al., Science 360, 1451, 2018



Arefev et al., Sci. Adv. 8, eabo2621, 2022

Mo et al., Science 360, 1451, 2018

Atomistic modeling of melting of 35 nm Au film



Arefev et al., Sci. Adv. 8, eabo2621, 2022

Ultra-slow melting cannot be explained by modeling with any reasonable value of *G* 

Atomistic modeling of melting of 35 nm Au film



"Never trust an experimental result until it has been confirmed by theory" Sir Arthur Stanley Eddington

1.2

Time, ps

absorbed energy density of 0.21 MJ/kg =  $0.99\varepsilon_m$ 



absorbed energy density of 0.2 MJ/kg =  $0.94\varepsilon_m$ 



Arefev et al., Sci. Adv. 8, eabo2621, 2022

# Laser-induced phase transformations: Solidification



#### Laser-induced phase transformations: Solidification



#### Laser-induced phase transformations: Solidification

Fast heating and cooling Melting and resolidification



Modification of surface microstructure surface alloying, annealing, hardening

Examples:

solidification microstructure – processing maps

Kurtz, Adv. Eng. Mat. 3, 443, 2001





Hoekstra et al., Adv. Eng. Mat. 7, 805, 2005

Equiaxed  $10^{-2}$  $10^{-3}$ V [m/s]  $10^{\circ}$ 10-6  $10^{-7}$  $10^{1}$  $10^{2}$  $10^{3}$  $10^{5}$  $10^{0}$  $10^{\circ}$  $10^{7}$  $10^{\circ}$ G[K/m]

Transition from columnar to equiaxed microstructure in laser-processed Ni-based superalloy

Clear understanding of the connections between laser processing conditions and microstructure is critical for the actively evolving area of **additive manufacturing** 

# Laser-induced phase transformations: Vaporization



## "Conventional" vaporization of superheated liquid



			V	
Substance	Т <sub>ь</sub> К	T K	Atom layers in 1 ns	Atom layers in 100 ns
Na	1156	1500	0.78	78
Bi <sup>(a)</sup>	1837	2000	0.058	5.80
Sb <sup>(b)</sup>	1860	2000	0.047	4.7
Ag	2435	3000	0.31	30.5
U	4404	5000	0.069	6.9
Nb	5017	5500	0.069	6.9
Mo	4912	5000	0.028	2.8
W	5828	6000	0.010	1.04

1. Evaporation from the surface  $\gamma$ 

Miotello & Kelly, Appl. Phys. A 69, S67, 1999

# 2. Normal boiling

normal boiling is subject to a major kinetic bottleneck in the process of bubble diffusion - distances travelled by a bubble in 100 ns are atomically small at  $2T_m$ 

## Surface evaporation and normal boiling are not relevant for ns pulses

Surface evaporation becomes important for 100s ns pulses and longer, can also lead to melt expulsion due to vapor recoil pressure

Vaporization of superheated liquid: Transition to "explosive boiling"



#### What is "explosive boiling," also known as "phase explosion"?



explosive boiling of liquid superheated up to the limit of thermodynamic stability



### Visual picture of phase explosion from large-scale atomistic simulations



Homogeneous boiling (phase explosion): liquid superheated to ~90% of the spinodal temperature rapidly decomposes into vapor and liquid droplets.

# Analysis of the ablation plume from large-scale MD simulations



# Analysis of the ablation plume from large-scale MD simulations



Plume imaging: splitting of the plume into a fast component (optical emission of neutral atoms) and a slow component (blackbody-like emission  $\rightarrow$  presence of hot clusters) Albert *et al.*, *Appl. Phys. A* **76**, 319, 2003 Okano et al., *Appl. Phys. A* **76**, 319, 2003 Noël *et al.*, *Appl. Surf. Sci.* **253**, 6310, 2007 Itina *et al.*, *Appl. Surf. Sci.* **253**, 7656, 2007 Amoruso *et al.*, *Appl. Phys. A* **89**, 1017, 2007 Jegenyes *et al.*, *Appl. Phys. A* **91**, 385, 2008 Nakano et al., *Appl. Phys. A* **101**, 523, 2010 Hermann *et al.*, *J. Phys.: Conf. Ser.* **399**, 012006, 2012











## **Dynamics of nanoscale phase decomposition in laser ablation**





Experiments at SLAC by Yanwen Sun, Klaus Sokolowski-Tinten *et al.* 

### **Dynamics of nanoscale phase decomposition in laser ablation**





# **Dynamics of nanoscale phase decomposition in laser ablation Transition from photomechanical spallation to phase explosion**



#### **Dynamics of nanoscale phase decomposition in laser ablation**

Experiments at SLAC by Yanwen Sun, Klaus Sokolowski-Tinten et al.



length-scale d that corresponds to Q:  $d = \frac{2\pi}{Q}$ 

## **Dynamics of nanoscale phase decomposition in laser ablation:** Direct verification of computational predictions



... except that *something strange* is going on in the low-Q region: Increase at Q ~ 0.005 - 0.02 Å<sup>-1</sup> (d ~ 30 - 125 nm) within 100 ps

# What is the lower limit on the heating rate for triggering phase explosion?

*In-situ* X-ray probing of **CW additive manufacturing**: sudden disappearance of keyhole protrusions and metal spattering - evidence of the phase explosion.



Zhao et al., *Phys. Rev. X* **9**, 021052, 2019; *Science* **370**, 1080, 2020.

Nahen and Vogel, *J. Biomed. Opt.* 7, 165, 2002: phase explosion in laser ablation of gelatin and biological tissue induced by  $100 \ \mu s \ laser \ pulses$ 

Possible question for classroom discussion

What is (or is there) an upper limit for the laser pulse duration for triggering the phase explosion?

#### Thermal processes at different laser fluences and pulse durations



M. V. Shugaev, M. He, Y. Levy, A. Mazzi, A. Miotello, N. M. Bulgakova, and L. V. Zhigilei, Laser-induced thermal processes: Heat transfer, generation of stresses, melting and solidification, vaporization and phase explosion, in: *Handbook of Laser Micro- and Nano-Engineering*, Edited by K. Sugioka (Springer, Cham, Switzerland, 2021), pp. 83-163.

## Summary on laser-induced thermal processes



vaporization phase explosion





parameters of the ablation plume and generation of nanoparticles